UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

53049

7590

05/25/2010

SHUMAKER & SIEFFERT, P.A. 1625 Radio Drive Suite 300 St. Paul, MN 55125 EXAMINER

SARCIONE, JESSICA LYNN

ART UNIT PAPER NUMBER

3766

DATE MAILED: 05/25/2010

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/810,045	03/26/2004	Fred William Chapman	1074-076/PB10102.00	7893

TITLE OF INVENTION: DEFIBRILLATORS CUSTOMIZED FOR ANTICIPATED PATIENTS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	08/25/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ar in m

ppropriate. All further adicated unless corrected internance fee notificated to the control of t	correspondence including d below or directed oth	g the Patent, advance or erwise in Block 1, by (a	rders and notification of many specifying a new corresponding to the cor	pondence address; a	I be mailed to and/or (b) indi	the current c cating a separ	correspondence address as ate "FEE ADDRESS" for
	ENCE ADDRESS (Note: Use Blo	ock 1 for any change of address)	Fee(:	s) Transmittal This	certificate can	not be used for	domestic mailings of the r any other accompanying t or formal drawing, must
1625 Radio Driv Suite 300				Certi	ficate of Maili	ing or Transm	
St. Paul, MN 551	125						(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	1	ATTORNEY DO	OCKET NO.	CONFIRMATION NO.
10/810,045 ITLE OF INVENTION	03/26/2004 : DEFIBRILLATORS C	USTOMIZED FOR ANT	Fred William Chapman ICIPATED PATIENTS		1074-076/PB	10102.00	7893
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL	L FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	<u>'</u>	\$1810	08/25/2010
EXAM	INER	ART UNIT	CLASS-SUBCLASS				
SARCIONE, JE	SSICA LYNN	3766	607-005000				
Change of correspondence address or indication of "Fee Address" (37 FR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(1) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or a	name of a single firm (having as a member a ed attorney or agent) and the names of up to ered patent attorneys or agents. If no name is			
PLEASE NOTE: Unl recordation as set forth (A) NAME OF ASSIG	ess an assignee is identi n in 37 CFR 3.11. Comp GNEE		THE PATENT (print or typ data will appear on the part a substitute for filing an at (B) RESIDENCE: (CITY	tent. If an assigned assignment. and STATE OR CC	OUNTRY)		cument has been filed for
a. The following fee(s) a Issue Fee Publication Fee (N		4b	b. Payment of Fee(s): (Please A check is enclosed. Payment by credit care The Director is hereby	se first reapply any 1. Form PTO-2038 is authorized to charge	previously passes attached.	aid issue fee sl	hown above)
a. Applicant claims	t us (from status indicated s SMALL ENTITY statu	s. See 37 CFR 1.27.	☐ b. Applicant is no long	_			
OTE: The Issue Fee and terest as shown by the r	d Publication Fee (if requeecords of the United Stat	nired) will not be accepted ses Patent and Trademark	d from anyone other than the Office.	ne applicant; a regist	ered attorney	or agent; or the	assignee or other party in
Authorized Signature	Authorized Signature Date						
Typed or printed name			Registration No.				
his collection of inform n application. Confident ibmitting the completed its form and/or suggesti ox 1450, Alexandria, V lexandria, Virginia 223	iality is governed by 35 I application form to the ons for reducing this bur irginia 22313-1450. DO	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the NOT SEND FEES OR (on is required to obtain or re 1.14. This collection is esti depending upon the indivi e Chief Information Office COMPLETED FORMS TO	etain a benefit by the mated to take 12 mi idual case. Any com r, U.S. Patent and T o THIS ADDRESS.	e public which inutes to comp iments on the rademark Offi SEND TO: Co	is to file (and olete, including amount of tim ce, U.S. Depar commissioner fo	by the USPTO to process) gathering, preparing, and e you require to complete tment of Commerce, P.O. or Patents, P.O. Box 1450,

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/810,045	03/26/2004	Fred William Chapman	1074-076/PB10102.00	7893	
53049 7	53049 7590 05/25/2010		EXAMINER		
SHUMAKER &	SIEFFERT, P.A.	SARCIONE, JESSICA LYNN			
1625 Radio Drive	,		ART UNIT	PAPER NUMBER	
Suite 300 St. Paul, MN 5512	25		3766 DATE MAILED: 05/25/201	0	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1176 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1176 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)					
	10/810,045	CHAPMAN ET AL.					
Notice of Allowability	Examiner	Art Unit					
	JESSICA SARCIO	NE 3766					
	JESSICA SARCIOI	<u>VE</u> 3700					
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLC or other appropriate IGHTS. This applica	OSED in this application. If not include communication will be mailed in due	ded e course. THIS				
1. 🔀 This communication is responsive to <u>The BPAI Decision managed</u>	ailed January 21, 20	<u>10</u> .					
2. The allowed claim(s) is/are <u>19-45</u> .							
 3. ☐ Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 	-	(a)-(d) or (f).					
Certified copies of the priority documents have	been received in Ap	plication No					
3. Copies of the certified copies of the priority do	cuments have been r	eceived in this national stage applic	ation from the				
International Bureau (PCT Rule 17.2(a)).							
* Certified copies not received:							
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.							
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			NOTICE OF				
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.						
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached							
1) hereto or 2) to Paper No./Mail Date							
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date							
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).							
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.							
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5 □ Noti	co of Informal Patent Application					
 Induce of References Cited (PTO-092) Induce of Professional Professio		ce of Informal Patent Application view Summary (PTO-413),					
,	Pap	oer No./Mail Date					
3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date	7. 🛛 Exai	7. X Examiner's Amendment/Comment					
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🗌 Exar	8. Examiner's Statement of Reasons for Allowance					
-	9. ☐ Othe	er					
/Jessica L. Sarcione/	/Carl H.	•					
Patent Examiner, Art Unit 3766 May 18, 2010	Supervise	ory Patent Examiner, Art Unit 37	66				
10, 2010							

Application/Control Number: 10/810,045 Page 2

Art Unit: 3766

EXAMINER'S AMENDMENT

1. An Examiner's amendment to the record appears below. Should the changes and/or

additions be unacceptable to Applicant, an amendment may be filed as provided by 37 CFR

1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the

payment of the issue fee.

Authorization for this Examiner's amendment was given in a telephone interview with

Gregory Kavounas on May 18, 2010.

The application has been amended as follows:

In Claim 32, line 1, immediately after "The external defibrillator of claim 19, wherein", --

if -- has been inserted.

In Claim 32, line 2, immediately after "processor determines that the patient is not the

anticipated patient,", "determines whether" has been deleted and -- the processor determines

whether or not -- has been inserted.

In Claim 37, line 1, "A computer-readable medium" has been deleted and -- A non-

transitory computer-readable medium -- has been inserted.

Claims 1-18 and 46-59 have been cancelled via this amendment.

Allowable Subject Matter

2. Claims 19-45 are allowed.

Conclusion

3. The prior art made of record and not relied upon is considered pertinent to Applicant's

disclosure.

Application/Control Number: 10/810,045 Page 3

Art Unit: 3766

4. Any inquiry concerning this communication or earlier communications from the Examiner should be directed to JESSICA SARCIONE whose telephone number is (571)272-2129. The Examiner can normally be reached on Monday - Friday, 8:00 AM - 4:30 PM.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Carl H. Layno can be reached on (571)272-4949. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jessica L. Sarcione/ Patent Examiner, Art Unit 3766 May 18, 2010 /Carl H. Layno/ Supervisory Patent Examiner, Art Unit 3766